Superhydrophobic polydimethylsiloxane dip-coated polycaprolactone electrospun membrane for extracorporeal membrane oxygenation

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Graphical Abstract

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34 Abstract

35 Extracorporeal membrane oxygenation (ECMO) is a technique that delivers gas exchange to cardiopulmonary surgery patients. Membrane oxygenation failure may 36 37 result in serious health problems for patients due to ECMO membrane wetting and surface fouling problems. Thus, in this work, the superhydrophobic membrane is 38 designed to improve the resistance to wetting and long-term fouling of the ECMO 39 membrane. The hydrophobic biocompatible polycaprolactone (PCL) was used to 40 fabricate an electrospun nanofiber membrane. Polydimethylsiloxane (PDMS) was dip-41 42 coated on the PCL electrospun membrane to enhance the membrane hydrophobicity, which lifts the water contact angle from 136 to 160 degrees. The PDMS90 membrane 43 shows low protein adsorption under 10 mg/mL BSA incubation, inhibiting the platelet 44 activation and intensifying long-term antifouling of the membrane. The lab-scale blood 45 oxygenation results indicate that the developed membrane is competitive with the 46 commercial polypropylene (PP) and lab-made polymethyl pentene (PMP) membranes. 47 In conclusion, the conducted experiments verify that the developed membrane has the 48 potential to be applied to the ECMO membrane. 49

50

51 *Keywords:* artificial lung, blood oxygenation membrane, ECMO membrane, 52 superhydrophobic membrane, $poly(\epsilon$ -caprolactone) (PCL), polydimethylsiloxane 53 (PDMS)

54 1. Introduction

With the advent of Coronavirus disease (COVID-19) [1][2], researchers have attracted 55 attention to Cardiopulmonary bypass (CPB) [3], also named as Artificial lung (AL). 56 Extracorporeal membrane oxygenation (ECMO) has developed together with such interest. 57 ECMO plays a crucial role in providing respiration assistance to severe respiratory patients by 58 assisting the gas exchange from outside of the body during heart surgery. The oxygenator is 59 the main component of the ECMO machine. Membrane oxygenators are used to oxygenate 60 61 blood by indirect contact between venous blood and oxygen, and the differential partial pressure exchanges oxygen and carbon dioxide [4]. 62

In recent years, the ECMO market has been driven by dense poly-4-methyl pentene (PMP) and

64 porous polypropylene (PP) membranes [5]. ECMO membrane is divided into dense membranes

and porous membranes. Dense membranes provide gas exchange by material intrinsic gas

solubility and diffusivity [6]. Porous membranes, on the other hand, deliver gas through the 66 pores in the membrane. Hence, the pore distribution and aperture size affect the porous 67 membrane oxygenation capacity [7] and lifespan [8]. Several existing methods for producing 68 ECMO membrane substrate are phase inversion [9][10], 3D printing [11], and spin coating 69 with initiated chemical vapor deposition [12]. Meanwhile, electrospinning can produce a 70 71 porous membrane, and the fiber diameter and the number of layers may affect the membrane 72 pore size [13][13][15][16][17]. Therefore, electrospun membrane with smaller fiber diameter and denser layers has a potential to be exploited in the gas exchange of ECMO membranes. 73

Although the hydrophobic porous membrane has better blood oxygenation efficiency, blood 74 tends to coagulate in the pores by capillary effect and leads to a serious plasma leakage to the 75 76 membrane. Once the membrane is wet, the oxygenation efficiency decreases and potentially endangers the patient's life. For this concern, the membrane substrate must be hydrophobic to 77 78 avoid wetting and prevent plasma leakage during blood oxygenation. However, an ECMO machine requires a large surface area of the blood-contacting membrane for adequate gas 79 80 exchange, which brings concern to membrane hemocompatibility [18]. As the hydrophobic surface is susceptible to protein foulants and the hydrophobic interactions act with the blood 81 82 components (such as protein, platelet, and cells) [19][19][20], the hazardous compounds might release and activate the blood immune system after the membrane directly contacts the blood 83 84 [22]. Thus, the long-term operation challenges of membrane oxygenators are 85 hemocompatibility and antifouling properties [5].

Antifouling studies mainly aim to reduce the formation of thrombosis by inhibiting the activation and aggregation of the platelet. Since the leading causes of thrombosis are protein adsorption and cell adhesion on the surface [23][24], hydrophilic [25] and superhydrophobic modifications [26] are employed to improve the surface hemocompatibility and subsequently elevate the surface antifouling property.

The utilization of hydrophilic coating layers is the common surface modification method that 91 can inhibit blood clotting. Nitric oxide (NO) [26] and heparin [28] coating layers have been 92 demonstrated to suppress blood coagulation cascades, and phosphorylcholine (PC) [29] coating 93 has been found to reduce thrombosis. The poly (2-methoxyethyl acrylate) (PMEA) [30] 94 covering has been proven to diminish platelet adherence. However, the long-term wetting of 95 hydrophilic coating layers may limit ECMO performance and lifespan with unforeseen 96 biofouling, particularly for porous membranes [31]. Hence, the hydrophilic coating layer might 97 be difficult to balance between hemocompatibility and long-term antifouling. 98

To overcome membrane long-term fouling, superhydrophobic surface modifications have also 99 been investigated for blood-contacting medical equipment and healthcare devices [32][33]. 100 Membrane superhydrophobicity could be improved by reducing the effective area, which can 101 also improve the surface hemocompatibility of the membrane [25]. The followings are the 102 several methods to improve superhydrophobicity: nanoparticle coating [34], plasma treatment 103 [35], chemical vapor deposition [36], vapor-induced phase separation [37], and electrospinning 104 [38]. The reported superhydrophobic modification demonstrates impressive wetting resistance, 105 protein fouling prevention, and antifouling in various gas-liquid contacting and biomedical 106 membrane applications. 107

In this sense, polycaprolactone (PCL) can be a good option for producing ECMO membranes 108 109 since it is a semi-crystalline hydrophobic polymer [39][40] with good hemocompatibility [41]. As an FDA- approved material [42], PCL has also been used in medical applications such as 110 surgical sutures [43], blood vessel grafts [44][45], and tissue regeneration scaffolds [46]. 111 However, hemocompatible PCL electrospun membrane is less durable and not strong enough 112 to face long-term ECMO challenges, such as plasma leakage and antifouling. Accordingly, 113 superhydrophobic modification, such as PDMS coating, is introduced to improve the PCL 114 electrospun membrane property for the aforementioned ECMO challenges. PDMS, an FDA-115 approved hydrophobic polymer [47], is advantageous because it can provide 116 superhydrophobicity to the membrane surface by simple modification [48][49][50][51]. 117

In this work, a novel superhydrophobic ECMO membrane is created by PDMS dip-coating on 118 a hydrophobic PCL electrospun membrane substrate to improve the hemocompatibility and gas 119 transfer rate in long-term extracorporeal membrane oxygenation. The gradient PCL 120 electrospun membrane was fabricated with different concentrations to provide a hydrophobic 121 porous substrate. The PDMS solution is then diluted in hexane and dip-coated on the membrane 122 surface throughout various periods. The hemocompatibility of PDMS-coated PCL electrospun 123 membranes was characterized by hemolysis, blood clotting index, protein adsorption, cell 124 cytotoxicity, platelet adhesion, and long-term antifouling tests. In addition, sheep blood was 125 applied to simulate the blood oxygenation of the membranes. Figure 1 depicts the overall 126 manufacturing process of the PDMS-coated PCL electrospun membrane and the oxygenation 127 128 simulation of the membrane.



Figure 1. Schematic illustration of the preparation of the PDMS-coated PCL electrospun membrane forartificial oxygenation.

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133 2. Experimental Section

134 2.1 Materials

Polycaprolactone (PCL) (Mw=80,000), Acetic acid (AA) (\geq 99%), N, N-135 Dimethylformamide (DMF) (99.8%), PP membrane, PMP raw material and bovine 136 serum albumin (BSA) were purchased from Sigma Aldrich. Sylgard 184 A, B (PDMS 137 prepolymer, curing agent) were purchased from Sewang Hitech Silicone. Chloroform 138 (CF) (99.8%), Formic acid (FA) (99.0%), n-Hexane (96.0%) were purchased from 139 Samchun pure chemical CO., LTD. Human umbilical vein endothelial cells 140 (HUVECs) and culture medium (EBM-2 EGM-2 Bulletkit) were purchased from 141 Lonza. Sheep blood defibrinated and citrated were purchased from KisanBio Inc. 142

143

144 2.2 Gradient nanofiber by electrospinning

Electrospun gradient PCL membrane were prepared with different solvents and weight ratios. The first finer layer was prepared by dissolving 9 wt% of PCL in Formic acid (FA)/ Acetic acid (AA) 7/3 (v/v) solution. The solution was mixed overnight at room temperature. Then the solution was electrospun for 10 hours into nanofibers at room temperature with a voltage of 17 *k*V, pump speed of 0.2 *m*L/*h*, and a 23 *cm* distance between the needle tip and the aluminum foil-covered rotating drum

collector. The second denser layer was prepared by dissolving 11 wt% PCL in 151 Chloroform (CF)/ N, N-Dimethylformamide (DMF) 8/2 (v/v) solution. After 152 overnight mixing, the solution was electrospun for 27 hours into nanofibers at room 153 temperature with a voltage of 15 kV, pump speed of 1 mL/h, and a 23 cm distance 154 between the needle tip and the aluminum foil-covered rotating drum collector. The 155 third layer had the same experiment setting as the first layer. The final membrane 156 was vacuum dried overnight to remove the residual solvents and to be ready for the 157 later process. 158

159

160 2.3 Polydimethylsiloxane (PDMS) modification

Polydimethylsiloxane (PDMS)-modified PCL membranes were prepared by dip-161 coating method. 1 wt% (w/w) of PDMS solution (prepolymer: curing agent kit w/w 162 10: 1) was dissolved in n-hexane. The solution was light avoiding-stirred with a 163 magnetic rod for 30min at room temperature. Then the PCL electrospun membranes 164 165 were immersed in PDMS/n-hexane solution with varying periods (30 min, 60 min, 90 min) at room temperature. After that, the coated membranes were dried overnight 166 in a vacuum oven at 40 °C. The obtained membranes are denoted as PDMS30, 167 PDMS60, PDMS90, respectively. 168

169

170 2.4 Characterization of membrane

Membrane morphologies and chemical compositions were characterized with FE-171 SEM and Silicon Drift Detector EDS (JSM-7800F Prime, JEOL Ltd, Japan) at 5 kV 172 and 15 kV, respectively. A prior platinum layer was coated on the membrane surface 173 before SEM scanning by Sputter Coater (Cressington 108 auto, Watford, UK). ATR-174 FTIR measurements were proceeded by TENSOR 27 (Bruker, Germany) ATR -175 FTIR spectrometer at 25 °C. Sample membranes were properly vacuum dried and 176 direct measured under the spectra of 64 scans with a resolution of $2 cm^{-1}$. Membrane 177 surface roughness was investigated by Atomic Force Microscope (Park NX10, Park 178 systems, Korea) over a scan area of $10 \times 10 \ \mu m^2$. The mechanical property of the 179 membranes was conducted following the ASTM standard using a test window 180 frame to hold the 1×4 cm² membrane. After loading the sample on the Universal 181 Testing Machine (Instron 5543, Instron Corp, MA, USA), the vertical ribs were cut 182 just before the start of the tensile test. Membrane tensile strength was tested with 183

a 100 N load cell and a 5 mm/min strain rate. The membrane surface contact angle 184 of water, Ethylene Glycol and blood was measured by contact angle analyzer 185 (Phoenix 300, SEO Co., Korea) with 2 μ L of Deionized (DI) water and Ethylene 186 Glycol, and 4 μ L of citrated sheep blood (KisanBio Ltd., Seoul, South Korea). The 187 average membrane pore size was examined by gas-liquid porometers 188 (POROLUXTM 1000, Porometer Ltd, Belgium). The membrane liquid entry 189 pressure (LEP) was determined after every 30 minutes from applying rising pressure to the 190 membrane with 0.5 bar. The membrane was fixed under the water by Amicon ® cell for 24 hours 191 before testing. The membrane was immersed in water for 24 hours before the LEP test. The LEP 192 data was recorded at the first water drop fell. Membrane air permeance was investigated 193 by the capillary flow porometer (model CFP-1500-AEL, Porous Materials Inc, NY, 194 USA). The gas was supplied with 1 bar pressure to enter the membrane with a 1.8 195 cm diameter filter holder. Air permeance was denoted as gas permeation unit 196 (GPU), where 1 GPU= $1 \times 10^{-6} \times (cm^3(\text{STP})/cm^2 \cdot cmHg \cdot sec)$. The thickness of membranes was 197 measured by a digital micrometer (Coolant Proof IP65, Mitutoyo, USA). 198

199

200 2.5 Membrane Blood Oxygenation

The blood oxygenation test was measured using Amicon® Stirred Cells under 36 °C. 201 202 All the membrane samples were punched into a circular-shaped membrane with a 4.25 203 cm diameter and installed in the Amicon® Stirred Cells for blood oxygenation tests. Defibrinated sheep blood (50 mL) was poured onto the top of the test membrane 204 and stirred by a dangled magnetic rod at 200 rpm for deoxygenating blood and 400 205 rpm for the oxygenation test, respectively. The circulated gases flowed underneath the 206 membrane by the tube connection between Amicon® Stirred Cells and the gas tanks. 207 After loading the sterilized membrane on the cell, the deoxygenation process took 6 208 hours of nitrogen gas flowing at a 45 cc/min flow rate and carbon dioxide gas flowing 209 at 4.5 *cc/min*. When the oxygen level was lower than 40 *mmHg* (measured by i-Smart 210 300 VET Blood Gas Analyser, i-SENS Inc., South Korea), oxygen gas was connected 211 to the cell, and started the oxygenation test at a 50 cc/min flow rate. The membrane 212 blood oxygenation property was simulated by the Amicon® cell (Figure S4). The dangling 213 rotated magnet inside the Amicon® cell mixed the sheep blood to balance the sheep blood 214 oxygen level inside the Amicon® cell for getting reliable data. The variations in blood 215 oxygen level were recorded every 5 minutes until the oxygen level went beyond 200 216

217 mmHg. The CO_2/O_2 exchange rate calculation was based on the margins of blood 218 oxygen over a settled period. The oxygen transfer rate ($mL O_2/m^2/min$) was 219 calculated by dividing the total O_2 transfer quantity ($mL O_2$) by the blood-220 contacting area of the membrane (m^2). The total O_2 transfer quantity is the sum of 221 total O_2 bound to hemoglobin (hbO_2) and dissolved O_2 in plasma (plO_2).

222 Oxygen transfer rate (mL $O_2/m^2/min$) = $\frac{d(sO_2+bO_2)}{dt \cdot A}$ (1)

- Where sO_2 is the oxygen dissolving volume (*m*L) in blood, bO_2 is the oxygen volume (*m*L) bound to hemoglobin, t is the time (*min*), and A is the tested membrane area (m^2).
- 226

227 **2.6 Protein adsorption**

The static membrane protein adsorption test was implemented with a petri dish. 228 The 2 \times 2 cm^2 membrane was first immersed in PBS and equilibrium under UV 229 sterilization for 3 hours. After discarding the PBS, 2 mL of bovine serum albumin 230 (BSA) (Sigma-Aldrich, USA) with 10 mg/mL concentration in PBS was prepared 231 and submerged on the membrane surface, followed by incubating for 3/6/9 hours 232 respectively at 37 °C with a 5% CO₂ incubator. After aspirating the BSA solution 233 from the well, the membrane was rinsed gently with PBS three times to wash out 234 non-absorbed protein. Then the membrane was immersed in 2 wt% aqueous 235 236 sodium dodecyl sulfate (SDS) solution for 1 hour in the 37 °C incubator and 1 hour 237 with a shaking table under room temperature to remove the adsorbed protein from the membrane. The membrane protein concentration and standard curve were 238 239 measured by a BCA kit. In short, 25 μ L of membrane solution and 200 μ L of working reagent (A: B 50:1) were pipetted into a 96-well plate. After 30 seconds of 240 gently plate shaking, the foil-covered 96-well plate was incubated in the 37 °C 241 incubator for 2 hours. Lastly, the protein adsorption amount of the membrane was 242 measured by the microplate reader (BioTek Synergy H1 Hybride Multi-mode 243 reader, Agilent technologies, United States) with 562 nm absorbance. 244

245

246 2.7 Platelet adhesion

Firstly, citrated sheep blood (KisanBio Ltd., Seoul, South Korea) was centrifuged
at 3500 *rpm* for 15 *min*, and the platelet rich plasma (PRP) suspension was extracted

and measured using the Countess II (Invitrogen) which showed 7×10^7 U/mL. UV 249 sterilized 1×1 cm² membrane was placed in the petri dishes, and PBS solution was 250 added to equilibrate membrane surface at room temperature for 2 hours and 251 incubated for 30 min. After discarding the PBS solution, $100 \,\mu\text{L}$ PRP was added onto 252 each side of the membrane surfaces and incubated in the 37 °C humidified incubator 253 with 5% CO₂ flow for 2 hours. Then the sample was rinsed with PBS solution 3 times 254 to remove the non-adherent platelets. The membrane was immersed into 2.5 wt% 255 glutaraldehyde aqueous solution at 4 °C for 24 hours to immobilize the platelets on 256 the sample surface. Subsequently, the membrane was rinsed three times by PBS 257 and dehydrated by a graded ethanol (v/v) from PBS (0%, 10%, 25%, 50%, 75%, 258 100%) with 10 min for each step. Lastly, the platelets-adhered surface was observed 259 by SEM. 260

261

262 2.8 Hemolysis rate

All the samples were cut into 1×1 cm² and immersed in 1.5 mL PBS and were 263 incubated in the 37 °C humidified incubator with 5% CO₂ flow for 2 hours. After 264 incubation, 30 µL of citrated sheep blood (KisanBio Ltd., Seoul, South Korea) were 265 added into the microtube with further 2 hours of incubation. Afterward, the microtubes 266 were centrifuged at 2500 rpm for 30 minutes. The supernatant was extracted into a 267 96-well plate with 200 μ L per well and measured by a microplate reader (BioTek 268 Synergy H1 Hybride Multimode reader, Agilent technologies, United States) under 269 the 545 nm. The positive control was prepared by dissolving 30 μ L of citrated sheep 270 blood in 1.5 mL of deionized water, while the negative control was prepared by adding 271 30 μ L of blood to a PBS solution. The calculation of hemolysis rate (HR) was 272 calculated as follows: 273

274
$$HR = \left[(A_{sample} - A_{negative}) / (A_{positive} - A_{negative}) \right] \times 100\%$$
(2)

275

276 **2.9 Blood clotting index**

The blood clotting test was operated with citrated sheep blood (KisanBio Ltd., Seoul, South Korea). The $5 \times 5 mm^2$ samples were immersed with deionized water within the 2 mL microtube for 1 hour incubation. After discarding the deionized water, 60μ L of blood and 4.5μ L of 0.2 M calcium chloride aqueous solution were pipetted

to contact the surface of the membrane and incubated for 5 min. Subsequently, 1.5 281 *m*L of deionized water was dropped into the microtube without directly touching the 282 membrane surface. 200 μ L of the supernatant was tested within a 96-well plate under 283 the 540 nm by the microplate reader (BioTek Synergy H1 Hybride Multi-mode reader, 284 Agilent technologies, United States). The negative control group was prepared by 285 dissolving 60 μ L of blood in 1.5 mL of the deionized water. The process has been 286 repeated three times to collect the average data. The blood clotting index (BCI) 287 was calculated by: 288

289 $BCI(\%) = [(A_{sample})/(A_{negative})] \times 100\%$

(3)

290

291 **2.10** Cytotoxicity

Human Umbilical Vein Endothelial Cells (HUVEC) were selected to be the model cell 292 to detect the membrane cytotoxicities (PCL, PDM90, PP, and PMP membranes) by 293 direct contact Cell Counting Kit-8 (CCK-8) assay. The samples for CCK-8 294 cytotoxicity test were prepared with 5 \times 5 mm². After 90 min of UV sterilization, the 295 samples were equilibrated with PBS 3 times (1 hour/time). The HUVEC cells were 296 pre-seeded into a 96-well plate with a density of 1×10^4 cells per well with overnight 297 incubation. Before the input of membrane samples, the culture medium was replaced 298 299 by the non-antibiotic fresh medium (EBM-2, Lonza). The sample-immersed plates were cultured at 37 °C with 5% CO2 for 24 h, 48 h, and 72 h, respectively. The non-300 sample-immersion control was performed as a blank control for each time point. For 301 each measurement, the samples and culture medium were carefully discarded, and 302 10% CCK-8 solution with fresh medium (110 μ L) was pipetted into each well, 303 followed by 45 min incubation. The absorbance results of each well were determined 304 by the microplate reader (BioTek Synergy H1 Hybride Multi-mode reader, Agilent 305 technologies, United States) at 450 nm. The cytotoxicity value was indicated as cell 306 viability (%). The cell viability was calculated by: 307

308 Cell Viability (%) =
$$[(A_{sample}) - (A_{blank})/(A_{Control}) - (A_{blank})] \times 100\%$$
 (4)

309

310 **2.11 Statistical Analysis**

Statistical analyses were performed by ImageJ (NIH, USA) and one-way ANOVA in
Prism (GraphPad, San Diego, CA, USA). The *p*-values were represented with asterisks (*)

are as follows: ns > 0.05; *p < 0.05; *p < 0.01; ***p < 0.001; ****p < 0.001;

314

315 **3. Results and Discussion**

316 **3.1** Membrane morphology and characteristics

A blood oxygenation membrane aims to provide sufficient oxygen to the blood. The 317 commercial products and the existing research of ECMO membranes focus on reducing 318 the pore sizes (about 30-40 nm diameter) to balance the prevention of blood leakage and 319 the pass of adequate oxygenation [52]. The leakage prevention of the membrane can also 320 be achieved by low surface energy with superhydrophobicity while maintaining sufficient 321 membrane pore sizes. Herein, gradient electrospun nanofiber was prepared as a 322 macroporous membrane. Figure 2a shows that the gradient PCL electrospun membrane 323 comprises two kinds of fiber diameters. The surface layers were fabricated by 9 wt% PCL 324 with 112 nm of the mean fiber diameter, which aimed to reduce the membrane mean pore 325 sizes. On the other hand, the middle layer was fabricated by 11 wt% PCL, with a 322 nm 326 mean diameter, to provide sufficient mechanical properties. 327

The surface SEM images of the PCL electrospun membrane with different PDMS coating 328 329 periods (0 min, 30 min, 60 min, 90 min) are shown in Figure 2b. The existence of PDMS after PDMS dip-coating could also be confirmed by EDS analysis (Figure S1), showing 330 331 that Si was detected on the membrane after PDMS coating. The average membrane thickness of commercial PP and lab-made PMP is 0.133 mm and 0.044 mm. PCL, PDMS30, 332 PDMS60, and PDMS90 have average membrane thicknesses of 0.199 mm, 0.203 mm, 333 0.207 mm, and 0.210 mm, respectively (Figure S5). The coating layer gradually cross-334 linked on the surface by the increasing coating time from 0 min to 90 min. It is also 335 presented in Figures 2c and 2d that the root mean square surface roughness decreased from 336 0.5038 μ m of 0 min coating membrane to 0.1624 μ m of 90 min coating samples through 337 AFM scanning. The commercial 0.6 μ m polypropylene (PP) Prefilter membrane (Sigma-338 Aldrich, USA) was purchased to compare the sample properties with those of commercial 339 polymers. Since polymethyl pentene (PMP) did not have a commercial flat film, the lab-340 made PMP membrane was developed, and the process details are included in the support 341 information. The SEM image of the PMP film and the pore size analysis are listed in the 342 support information (Figure S2). 343

The transmittance spectra of functional groups differences of pristine PCL electrospun membrane and PDMS-treated membrane were acquired through the ATR FTIR

spectrometer (Figure 2e). PCL transmittance showed asymmetric and symmetric stretching 346 vibration of the -CH₂ bond at 2944 and 2866 cm^{-1} . The strong carbonyl (-C=O) stretching band 347 of PCL was represented at around 1726 cm⁻¹. 1295 cm⁻¹ indicated C-O and C-C stretching of 348 PCL, and asymmetric and symmetric C-O-C stretching bonds were denoted at 1240 and 1171 349 cm⁻¹ of PCL transmittance. The PDMS-coated membrane showed an intense peak at around 350 800 cm⁻¹ representing the -CH₃ rocking in the Si-CH₃ bond. Si-O-Si bonds of PDMS were 351 shown at 1089 and 1048 cm⁻¹. At the 1240 cm⁻¹ band, the PDMS-coated membranes presented 352 more prominent peaks demonstrating the -CH₃ symmetric bending in Si-CH₃. The peak in 353 2944 cm⁻¹ also represented the C-H stretching in the CH₃ group. The spectra proved that after 354 dip-coating, PDMS was successfully cross-linked on the surface of the membrane. 355

Tensile strength is a critical property of materials. Figure 3a and 3b illustrate tensile stress 356 and strain comparisons, as well as Young's modulus values for different membranes. As 357 the PDMS-coating period was increased, the strain value decreased from 79% of the 358 pristine PCL electrospun membrane to 47% of the PDMS90 membrane. The lower 359 deformation potential reduced the unintentional change in membrane pore size during 360 membrane oxygenation. Meanwhile, the stress value increased from 11 MPa of PCL to 13 361 MPaof PDMS90, indicating that prolonging the PDMS coating duration enhanced the 362 membrane deformation resistance. Young's modulus improved steadily from 15 MPa of 363 PCL to 25 MPa of PDMS90. The stiffness of the membrane was increased after PDMS 364 dip-coating. Surface roughness and surface chemistry both affect surface hydrophobicity 365 [53]. Based on the water contact angle results (Figure 3c) and the surface roughness results 366 (Figures 2c and 2d), PDMS coating improves the hydrophobicity of the membrane due to 367 the siloxane in the PDMS, from 135.7 degrees of PCL electrospun membrane to 160.2 368 degree of PDMS60. The decreased surface roughness from 0.29 μ m of PDMS60 to 0.16 369 μ m of PDMS90 decreased the water contact angle from 160.2 degrees to 153.3 degrees. 370 At the same time, the blood contact angle of different membranes was measured. 371 According to Figure 3d, as the period of PDMS coating increased, the blood contact angle 372 increased from 121 degrees of PCL to 141 degrees of PDMS90. The surface energies of the 373 membrane were measured by water and ethylene glycol contact angles and computed by 374 the Owens, Wendt, Rabel and Kaelble (OWRK) method. The results are shown in Table 375 1. As can be observed, the PDMS coating significantly lowered the surface energy of the 376 membranes. Surface energy was correlated to surface roughness and material chemistry [53]. 377 The intrinsic chemical characteristics include atomic composition, polarizability, and 378

379 crystallinity. Because of the existence of low surface energy elastomer silicone and the low 380 intermolecular interactions between the methyl group, as well as the flexibility of the 381 siloxane backbone, PDMS shows a low surface energy [54] as it is widely known. 382 Therefore, PDMS coating significantly reduced membrane surface energy from over 250 383 mN/m before coating to lower than 10 mN/m after coating.



Figure 2. SEM images and fiber diameter analysis of (a) 9 wt% and 11 wt% gradients PCL electrospun
membrane; (b) SEMimages and (c) AFM images of membrane surface morphologies with PDMS dipcoating for 0 min (PCL), 30 min (PDMS30), 60 min (PDMS60) and 90 min (PDMS90); (d) the surface
roughness analysis; and (e) ATR-FTIR examination of PCL, PDMS30, PDMS60, and PDMS90
membranes.



Figure 3. (a) The mechanical properties and (b) Young's modulus of PCL, PDMS30, PDMS60, and
PDMS90 membranes. (c) Water contact angle and (d) blood contact angle of PCL, PDMS30, PDMS60,
and PDMS90 membranes.

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390

Membranes	Contact angle (degree)		Surface energy (mN/m)
	Water	Ethylene glycol	_
PCL	135.7	28.88	251.2
PDMS30	146.3	125.67	6.70
PDMS60	160.2	139.82	3.62
PDMS90	153.3	130.97	6.36

395

Table 1. The comparison of contact angles and surface energy of different membranes.

397

398 3.2 Hemocompatibility of the membrane

399 3.2.1 Hemolysis assay

400 Since the ECMO membrane comes into direct touch with the patient's blood, membrane

401 hemocompatibility must be investigated. Hemolysis appears when blood directly contacts

402 the foreign elements that may activate the complement system and results in inflammation.

The hemolysis ratio may reflect a material's blood compatibility. The in vitro hemolysis 403 assay showed that all samples, including commercial PP and lab-made PMP, had 404 average hemolysis ratios of less than 0.6%. (Figure 4a). The results show that all the 405 samples are comparably safe for in vitro hemolysis tests, in accordance with the American 406 Society for Testing and Materials Standard (ASTM F756-2008). PCL, PDMS30, and 407 PDMS60 had higher hemolysis ratios than commercial PP and lab-made PMP, whereas 408 commercial PP and lab-made PMP had hemolysis rates less than 0.4%. PDMS90 had an 409 excellent hemolysis ratio of 0.22% on average, which was lower than lab-made PMP 410 (0.24%). Among the tested samples, the PDMS90 membrane showed the best 411 hemocompatible capability, suggesting that the hemocompatible PDMS90 has the 412 413 potential for ECMO application.

414

415 **3.2.2 Blood Clotting Index**

The blood clotting index (BCI) assesses clotting formation capacity, which reveals the 416 percentage of red blood cells that are not caught by clots. A lower BCI level indicates 417 that the materials are significantly prone to clotting. According to figure 4b, the 418 average BCI value for pristine PCL electrospun membrane was lower than 90%. The 419 BCI tended to grow as the PDMS-coating duration increases. PDMS90 had a BCI 420 421 value of more than 95%, which was comparable to the commercial PP membrane 422 (96.6%). Since PDMS90 had a lower clotting potential, platelet plugs and fibrin clots were less likely to form on the membrane. Meanwhile, the oxygenation tunnel might work better 423 for a longer period with less clogging. The results suggest that PDMS90 could be 424 employed as an ECMO membrane with minimal clotting potential. 425

426

427 **3.2.3 Protein adsorption**

After the blood directly contacts an artificial surface, protein adsorption appears in a matter 428 of seconds as a forepart reaction. Proteins have a significantly smaller size than cells, 429 which causes them to adhere to the microscopic structure more than cells. When a large 430 number of proteins are bound to the surface, the artificial surface may activate blood cells 431 and cause thrombosis [55]. Meanwhile, the adhesive proteins have a proclivity for cell 432 attraction, which will eventually block the oxygenation tunnel and limit the ECMO 433 membrane's oxygenation rate [24]. The difficulty in modifying ECMO membrane surfaces is 434 lowering protein adhesion to prevent further thrombosis and increase service life. In figure 435

4c, all membrane samples were examined for 3, 6, and 9 hours with a high concentration of 436 10 mg/mL bovine serum albumin. At 3 hours of incubation, PDMS90 (0.85 μ g/cm²) 437 revealed less than 1 μ g/cm², indicating effective protein adsorption avoidance. Protein 438 adsorption of the membranes fell considerably after PDMS coating, from over $100 \,\mu g/cm^2$ 439 of pristine PCLelectrospun membrane to less than $10 \,\mu g/cm^2$ of PDMS-coated membrane 440 at 9 hours (PDMS30: 6.31 $\mu g/cm^2$, PDMS60: 4.99 $\mu g/cm^2$, PDMS90: 3.87 $\mu g/cm^2$). When 441 compared to commercial PP and lab-made PMP membranes, the PDMS-modified 442 membrane showed a significant reduction in protein adsorption, with less than half of 443 PP and PMP after 9 hours of incubation. Figure 5 reviews various membranes applied to 444 ECMO machines and antifouling bio-interfaces. It presents that this work (PDMS90) has a low 445 protein adsorption amount under the incubation of relatively high BSA concentration 446 (10 mg/mL), which stands out from other works. The membranes have been repeatedly tested 447 with 1 mg/mL of BSA concentration for 3, 6, and 9 hours (Figure S6). It shows that PDMS90 448 possesses lower than 1.5 $\mu g/cm^2$ protein adsorption after 9 hours of incubation with 1 mg/mL 449 BSA solution. The details of figure 5 are listed in table S1. The results demonstrate that the 450 superhydrophobicity and the relative roughness of the PDMS-coated membrane surface 451 452 inhibited protein adhesion, and the low protein adsorption membrane has the potential to apply to the ECMO membrane. 453

454

455 **3.2.4 Cytotoxicity**

Because the ECMO membrane is in direct touch with the blood extracted from the venous 456 vessels, the blood will return to the patient's body via the arterial channel. The membrane's 457 safety is also critical. According to the biological assessment of medical devices 458 (ISO10993-5), Cell Counting Kit-8 (CCK-8) was used to examine the in vitro cytotoxicity 459 of the ECMO membrane using Human Umbilical Vein Endothelial Cells (HUVEC). 460 The cytotoxic potential of materials such as PCL, PDMS90, commercial PP, and lab-461 made PMP wasinvestigated (Figure 4d). The cell viability of several samples was tested 462 for 24 hours, 48 hours, and 96 hours in the non-antibiotic-free medium. Based on the 463 conventional quantitative level (ISO 10993-5:2009), at 24 hours, PCL had 75.8% cell 464 viability, lab-made PMP had 80.7%, and PDMS90 had 84.9% viability. PCL, PMP, and 465 PDMS90 membranes were defined as level 1 cytotoxicity after 24 hours. Commercial PP 466 (71.5%) had the lowest cell viability and was defined as level 2 cytotoxicity at 24 hours. 467 Only PDMS90 retained more than 80% cell viability after 48 hours, followed by 78.86% for 468

PMP, 69.08% for PP, and 66.3% for PCL. At 48 hours, PDMS90 and PMP still showed level 1 cytotoxicity, but PP and PCL demonstrated level 2 cytotoxicity. PMP (75%) presented the best cytotoxicity after 72 hours with level 1 cytotoxicity. At 72 hours, PDMS90 (59.7%) demonstrated level 2 cytotoxicity, while PCL (40.94%) and PP (31.38%) showed level 3 cytotoxicity. The results suggest that PDMS90 might be used as a medical device, but it may require further treatment to lessen the cytotoxic potential in the long-term application.

476

477 **3.2.5 Platelet adhesion**

Platelets are anucleate blood cells that might participate in inflammation during extracorporeal 478 membrane oxygenation [26]. When the membrane encounters blood, proteins (albumins 479 and globulins) tend to bind to the membrane surface. After that, fibrinogens gradually replace 480 small proteins and attach to the membrane surface due to the Vroman effect [56]. Platelets 481 tend to aggregate in the presence of fibrinogens. Subsequently, the platelet will be activated 482 and spread the pseudopodia. Furthermore, the extent of platelet activation on ECMO might 483 improve with increasing blood contact time, resulting in a membrane with a low 484 oxygenation efficiency. For the platelet adhesion test, extracted sheep platelets were 485 utilized — platelet diameters in sheep range from 3.2 to 5.4 $fL(\mu m^3)$ [57]. Figure 4e 486 depicts the platelet formed on various membrane surfaces. The platelet on the pristine 487 488 PCL electrospun membrane surface had been activated with multiple pseudopodia. Through SEM pictures, the platelet appeared reductive activation as the PDMS coating 489 time increased. A platelet on the surface of a commercial PP membrane was severely 490 activated. In contrast, a platelet on the surface of a lab-made PMP membrane was hardly 491 activated, which was similar to the PDMS90 surface platelet. The platelet adhesion is also 492 related to material surface roughness [58]. PDMS dip-coating reduced the surface 493 roughness (Figure 2d), which conduce to less platelet adhesion on the membrane surface. 494 Hence, the surface was modified into superhydrophobic with relatively lower surface 495 roughness and minimal protein adsorption, PDMS coating reduced platelet activation and 496 could potentially extend service life in ECMO applications. 497





505

Figure 4. Hemocompatibility evaluation of PCL, PDMS30, PDMS60, PDMS90, commercial PP, and lab-made PMP: (a) hemolysis ratios (b) the corresponding blood clotting index values (c) protein adsorption of membranes with 10 *mg/mL* BSA concentration at 3, 6, 9 hours respectively; (d) cytotoxicity analysis of PCL, PDMS60, commercial PP, and lab-made PMP membranes by the cell viability for 24, 48, 72 hours respectively. (e) SEM images of surface platelet activation for PCL, PDMS30, PDMS60, PDMS90, commercial PP, and lab-made PMP membranes. (Scale bar: 1 μ m.)



507 Figure 5. The comparison of protein adsorption of various membranes applied to ECMO and bio-508 interfaces (details are listed in Figure S6 and Table S1).

- 509
- 510

511 **3.2.6 Long-term antifouling**

The superhydrophobicity of the ECMO membrane is designed to extend the lifetime of respiratory assistance. The long-term antifouling ability of the membrane surface is also crucial; by reducing cell adhesions over time, oxygenation could theoretically persist longer. The membrane samples were steeped in citrated sheep blood for 28 days in this study to test long-term antifouling properties. The antifouling ability of the membrane surfaces was observed by SEM at 7-day intervals and photography at 28-day (Figure 6).

From photography, the PCL and commercial PP membranes had significant clotting on 518 the surface, as observed in the 28-day image. In contrast, the PDMS-coated membrane 519 surfaces were comparable to the fresh membrane (control). After 28 days of blood 520 interaction, the lab-made PMP membrane likewise had a clean surface. Simultaneously, 521 SEM pictures of PCL and commercial PP membrane surfaces indicated incremental 522 thrombus buildup at 28 days, the PP membrane surface accumulated activated platelets 523 from day 14, and the PCL electrospun membrane showed active platelets from day 21. 524 PDMS-coated membranes and lab-made PMP demonstrated better antifouling 525 526 characteristics. The increased coating duration from PDMS30 to PDMS90 delivered a preferable antifouling feature to the membrane. Meanwhile, PDMS60 and PDMS90 527 exhibited similar antifouling capabilities to lab-made PMP membranes with nearly free of 528 thrombus and activated platelets. The results demonstrate that the PDMS coating 529 significantly increased the membrane's long-term anticoagulant property. The competitive 530 performance might be traced to the inert siloxane of the PDMS coating layer, which enabled 531 the membrane with low surface energy and superhydrophobicity. The superhydrophobic 532 surface collected less protein from the blood, which reduced both platelet activation and 533 erythrocyte adherence, resulting in a longer-term possibility of anticoagulation. 534



Figure 6. (Top) 28 days antifouling examination of membranes (Control: Non-blood contacted clean
PDMS60 membrane, PCL, PDMS30, PDMS60, PDMS90, commercial PP, and lab-made PMP);
(Bottom) SEM micrographs of membranes after citrated sheep blood incubation for 7, 14, 21, 28 days
respectively.

540

541 **3.3 Membrane Blood Oxygenation**

The pore size of the membrane may influence the rate of oxygenation. The mean pore 542 diameter of a commercial PP membrane (Sigma-Aldrich, USA) was $0.6 \,\mu$ m, and the mean 543 pore size of a lab-made PMP was 1.74 μ m (Figure S2). Meanwhile, the PCL electrospun 544 545 membrane had a mean flow pore diameter of 261.6 nm, 334.5 nm for PDMS30, 276.5 nm for PDMS60, and 213.4 nm for PDMS90, respectively (Figure 7a). Among them, the rising pore 546 size of PDMS30 was due to the capillary effect that accumulated the nanofiber clusters 547 during PDMS dip-coating. When the dry membrane was submerged in the coating 548 solution, the nanofibers of the membrane tended to aggregate owing to the cohesiveness 549 between liquid and solid [59][60]. The steady decrease in pore sizes of PDMS60 and 550 PDMS90 was linked to the enhancement of the PDMS cross-linking degree, which was 551 well-distributed across the membrane surface and reduced pore sizes [61]. 552

Gas permeance is also affected by pore size and membrane interior structure. All the test 553 samples had an air permeance greater than 2.7×10^5 GPU. Whereas commercial PP had 554 1.56×10^4 GPU of air permeance, lab-made PMP had 4.27×10^2 GPU [52]. Figure 7b 555 indicates that the PDMS-coated membrane significantly lowered air permeance, and it was 556 owing to the denser interior structure. The PCL electrospun membrane had a loose internal 557 structure prior to coating, and the PDMS coating method not only assembled the surface 558 nanofiber but also congregated the fibers between various layers. Therefore, the overall air 559 permeation channel had been diminished, and the air permeance of the PDMS90 membrane 560 decreased dramatically to 2.7×10^5 GPU. However, as compared to other products [25][26], 561 these tested samples still had good air permeability. 562

hydrophobicity of PCL enhanced 563 The а electrospun membrane was to superhydrophobicity by PDMS coating. The goal of membrane superhydrophobicity was 564 to avoid blood leakage during blood oxygenation. To test the wetting stability of the 565 membrane, the membranes were pre-fixed under the water for 24 hours before applying 566 pressure. The liquid entry pressure (LEP) of a hydrophobic membrane is the pressure 567 required to pass the liquid through a membrane. According to Figure 7c, when the PDMS 568 coating duration increased, the LEP increased from 0 bar of PCL to 1.625 bar of 569 PDMS90. Even though the electrospun PCL membrane has over 135 degrees of water 570 contact angle, it showed 0 bar after 24 hours of pre-wetting. This result could be due to the 571 572 free arrangement of electrospun fiber that allows the water to pass through in long-term

573 contact with water. The increasing LEP value is bounded up with the decreasing pore size, 574 the increasing thickness of the membrane, and the improving hydrophobicity by the PDMS 575 dip-coating. Consequently, the possibility of blood leakage through the membrane pores 576 was reduced as compared to the PCL electrospun membrane, and the blood oxygen 577 exchange tunnel was less likely to get clogged because of improved hydrophobicity.

A membrane oxygenator has gas flowing at the interior of the gas exchange hollow fiber, 578 and the blood is pumped to flow around the exterior of the hollow fibers. The O₂/CO₂ 579 exchanges during the flow, and the blood is latterly led back to the patient's body after the 580 oxygenation. A dead-end system is applied to target the membrane oxygen transfer rate 581 using Amicon® cells to avoid undesired influences for testing membrane oxygenation 582 efficiency. The membrane separated the flown gas and the gently stirred blood, and the 583 O₂/CO₂ was exchanged through the membrane pores and the gas permeability of the 584 materials. The oxygen level of sheep blood was measured from under 70% in 5 minutes 585 intervals and recorded until it reached over 95%. Figure 7d and 7e compare the blood 586 587 oxygenation simulation efficiency of commercial PP, lab-made PMP, and PDMS-coated membranes. Among the tests, commercial PP (6.16 mL $O_2/m^2/min$) had the highest oxygen 588 exchange rate, whereas dense lab-made PMP (3.53 mL $O_2/m^2/min$) had the lowest value. 589 Because the PCL electrospun membrane was not superhydrophobic that cannot prevent blood 590 591 leakage over the period, it was ineligible for the blood oxygenation simulation test. PDMS 592 had superior intrinsic oxygen and carbon dioxide gas permeability when compared to commercial PP and lab-made PMP polymers [62][63]. The increasing coating duration of 593 PDMS offered a more competitive oxygenation efficiency to the membranes (4.66 mL 594 $O_2/m^2/min$ of PDMS30, 4.95 mL $O_2/m^2/min$ of PDMS60, and 5.74 mL $O_2/m^2/min$ of 595 PDMS90). The blood oxygen saturation level revealed that PP and PDMS90 met at 20 596 minutes with an 88% blood saturation level and continued to increase at the same rate from 597 20 minutes to 30 minutes until exceeding 95% of the oxygen saturation level, where the 598 normal oxygen level in a healthy lung is between 95% and 100% [64]. Figure S3 shows 599 the tested sheep blood was completely removed from the surface of the PDMS30, 600 PDMS60, and PDMS90 membranes, by gentle distilled water rinsing after the membranes 601 had completed deoxygenation and blood oxygenation tests. The clean surfaces also 602 demonstrate the PDMS-coated antifouling membranes could have promising and stable 603 blood oxygenation properties without thrombus adhesion. As a result, according to the 604 saturation level test, PDMS90 was competitive with commercial PP membranes that had 605

the same saturation speed to achieve 97% at 30 minutes during the test. The oxygenation
characteristics of a PDMS-coated PCL electrospun membrane were comparable to those of
commercial PP and lab-made PMP membranes. As a competitor, PDMS-coated PCL
electrospun membrane has enormous blood oxygenation potential to be utilized for
ECMO membranes.



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Figure 7. (a) Mean pore diameter distribution and corresponding (b)air permeances and (c)Liquid
Entry Pressure (LEP)of PCL, PDMS30, PDMS60, and PDMS90. (d) Oxygen transfer rate and (e)
oxygen saturation level of PP, PMP, PDMS30, PDMS60, PDMS90.

615

616 **4.** Conclusions

In this work, we created a PCL electrospun membrane with a PDMS dip-coated superhydrophobic surface. Two different concentration solutions (9 wt% and 11 wt%) were prepared to produce a gradient PCL electrospun membrane to form a hydrophobic porous membrane. PDMS dip-coating lifts the water contact angle from 135 degrees to 160 degrees, enhancing the membrane's long-term antifouling. The PDMS dip-coating also improves the hemocompatibility of the membrane. Under 10 mg/mL BSA concentration, the protein

adsorption of the membrane dramatically reduces from $118 \,\mu g/cm^2$ of PCL electrospun membrane to 3.9 μ g/cm² of PDMS90 at 9 hours incubation. The protein adsorption of PDMS90 was also lower than the commercial PP and lab-made PMP membranes. Less protein adsorption of the membrane surface further depresses the platelet activation and improves the long-term antifouling property. PDMS dip-coated PCL electrospun membrane also shows competitive blood oxygenation performance. PDMS90 meets PP at 20 min with an 88% oxygen saturation level; after that, keeping the same speed as PP and reaches 97% at 30 min. Meanwhile, the gas transfer rate of PDMS90 is 5.7 mL $O^2/m^2/min$, which is competitive with 6.16 mL $O^2/m^2/min$ of commercial PP membrane. Compared to the most often used materials for ECMO membranes, the PDMS-coated PCL electrospun membrane is comparable to the hemocompatibility of lab-made PMP membranes and competitive with the blood oxygenation capability of commercial PP membranes. Thus, the PDMS-coated PCL electrospun membrane exhibits superior overall performance, indicating its potential for ECMO application. For the next step of developing the PDMS dip-coated PCL electrospun membrane for ECMO application, the collector could be adjusted to a small diameter cylinder to get the hollow-shaped tube, which could test and simulate the blood flow, shear force, and pressure in the hollow tube oxygenator in the future. Surface superhydrophobic modification by FDA- approved materials could also benefit various blood-directly-contacting medical interfaces, such as blood vessel grafts, blood tubes, and blood reservoirs.

656 Author statement

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664 665

666 Declaration of competing interest

- 667 The authors declare no conflicts of interest.
- 668
- 669

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679 680

681 Appendix A. Sample Appendix Section

EDS analysis of PCL electrospun membrane before and after PDMS dip-coating. SEM image and pore size analysis of lab-made PMP membrane. The fabrication instruction of lab-made PMP membrane. Photograph of various PDMS dip-coated membranes after blood oxygenation tests and the surface with a gentle distilled water rinse. The comparison of various low protein adsorption membranes for ECMO and bio-interfaces.

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Highlights

Superhydrophobic polydimethylsiloxane dip-coated

polycaprolactone electrospun membrane for extracorporeal

membrane oxygenation

Zhuomin Jiang, Bao Tran Duy Nguyen, JeongHyeon Seo, Changgi Hong, Dongwoo Kim, Suhyun Ryu, Sohui Lee, Gyubok Lee, Young Hoon Cho*, Jeong F. Kim*, Kangwon Lee**

- Superhydrophobic membrane was designed for the ECMO membrane.
- PCL electrospun membrane was applied to the ECMO membrane substrate, and PDMS dip coating enhanced the surface hydrophobicity.
- The membrane had low protein adsorption under 10 mg/mL BSA concentrations.
- The membrane had a competitive blood oxygenation rate and hemocompatibility compared to market-dominating materials (PP and PMP).

Author statement

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Zhuomin Jiang: Conceptualization, Methodology, Data analysis, Writing-original draft, Writing-review & editing. Bao Tran Duy Nguyen: Methodology, Data analysis. JeongHyeon Seo: Methodology, Data analysis. Changgi Hong: Methodology, Data curation. Dongwoo Kim: Methodology, Data curation. Suhyun Ryu: Data curation. Sohui Lee: Data curation. Gyubok Lee: Data curation. Young Hoon Cho: Review & Editing, Validation, Resources. Jeong F. Kim: Review & Editing, Validation, Resources. Kangwon Lee: Supervision, Funding acquisition, Project administration.

Declaration of competing interest

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The authors declare no conflicts of interest.